

ANNEX 1: Fact sheet on Individual Efforts Made towards the Achievement of the Bogor Goals

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
4. Investment				
(1) Restrictions on foreign investment	Restrictions on foreign investment in business entities with strategic importance for the country's defense and state security (hereinafter - strategic company) were introduced only in 2008.	Number of activities of strategic importance in 2009 is 42. Restrictions on foreign investment: 1. To establish control over strategic society that does not use subsoil area of Federal significance by acquiring the right to dispose of more than 50% of the shares (interests) of the strategic company without prior approval from the GC ¹ , as well as to establish control by appointing the SEB ² and (or) more than 50% of the collective executive body of the strategic company. 2. To establish control over strategic society that uses subsoil area of Federal significance (hereinafter - a subsoil user) by acquiring the right to dispose of 10% or more	Number of activities of strategic importance in 2019 is 46. Restrictions on foreign investment: 1. To establish control over strategic society that does not use subsoil area of Federal significance by acquiring the right to dispose of more than 50% of the shares (interests) of the strategic company without prior approval from the GC ³ , as well as to establish control by appointing the SEB ⁴ and (or) more than 50% of the collective executive body of the strategic company. 2. To establish control over strategic society that uses subsoil area of Federal significance (hereinafter - a subsoil user) by acquiring the right to dispose of 25% or	1. Examination of applications. During the eleven years of the Federal law No. 57-FZ, the FAS Russia has received 621 applications: - 282 were examined by the Government Commission: 259 have positive decisions, 23 have negative decisions because of the threat to the country's defence and security; - 265 did not require prior approval and were returned to the applicants; - 63 were withdrawn by the applicants (refusal of the intention to carry out the planned transactions); - 11 are under consideration. In 2019 3 meetings of the GC were held (17.06.2019, 27.06.2019, 14.11.2019) the results of which were the following decisions: - 24 are on the preliminary approval of transactions, including 6 - with the imposition of obligations; - 5 are on refusal to pre-approve the transaction; - 2 are on extension of the period of the examination of applications. In 2019 the total amount of pre-agreed

¹ The GC – Government Commission for Control over Foreign Investments of the Russian Federation

² The SEB – single executive body

³ The GC – Government Commission for Control over Foreign Investments of the Russian Federation

⁴ The SEB – single executive body

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		<p>of the subsoil user's shares without prior approval from the GC, as well as to establish control by appointing the SEB and 10% or more of the collective executive body of this strategic company.</p> <p>3. The acquisition of shares (interests) of a subsoil user without the GC, if the foreign investor (group of persons) disposes 10% or more of shares (stakes) of a subsoil user.</p> <p>4. To conclude an agreement on the implementation by a foreign investor the functions of the management company of strategic company without the GC.</p> <p>5. The acquisition by a foreign state an international organization or an organization under its control without the GC of the right to dispose of (1) more than 25% of the shares (shares) of strategic company or other ability to block decisions of management bodies (2) more than 5% of the shares (stakes) of a subsoil user.</p>	<p>more of the subsoil user's shares without prior approval from the GC, as well as to establish control by appointing the SEB and 25% or more of the collective executive body of this strategic company.</p> <p>3. The acquisition of shares (interests) of a subsoil user without the GC, if the foreign investor (group of persons) disposes not less than 25% and not more than 75% of shares (stakes) of a subsoil user.</p> <p>4. To conclude an agreement on the implementation by a foreign investor the functions of the management company of strategic company without the GC.</p> <p>5. The acquisition by a foreign state an international organization or an organization under its control without the GC of the right to dispose of (1) more than 25% of the shares (shares) of strategic company or other ability to block decisions of</p>	<p>transactions by the GC was 1.19 trillion rubles (\approx 18.63 billion \$), where the total amount of planned foreign investment is 895.5 billion rubles (\approx 14 billion \$); this is 51% more than the amount of foreign investment in 2018.</p> <p>Brief description of important cases which have a high social significance in accordance with the priorities defined at the Federal level.</p> <p>In 2013, the FAS Russia, applying to the Arbitration court of Moscow with a claim to the companies "MTI RESEARCH CORP." (Canada) and LLC "Stratek invest", JSC "Kitetinvest", JSC "Kapitel", took measures to timely return to the original owners of 100% of the voting shares of JSC "Capital Energy", acquired by a foreign investor – the company "MTI RESEARCH CORP." in violation of the requirements of the Federal law No 57-FZ. After the FAS Russia's application, "MTI RESEARCH CORP.". brought its activities into the conformity with the legislation on foreign investment in the Russian Federation.</p> <p>In 2015 the FAS Russia applied to the Arbitration court of Moscow with a claim to LLC "VelentTrans" controlled by "PALMERS SERVICES LIMITED" (the Republic of Cyprus) on the invalidity of the transaction between LLC "VelentTrans" and "PALMERS SERVICES</p>

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		<p>6. To conclude other transactions/agreements without the GC, aimed at transferring the right to determine the decisions of the management bodies of strategic society, including the conditions for their business activities, to a foreign investor or group of persons.</p> <p>7. To conduct transactions, actions aimed at establishing and controlling of a foreign investor without the GC.</p> <p>8. To prohibit a foreign state, an international organization or an organization under its control to establish control over strategic company.</p>	<p>management bodies (2) more than 5% of the shares (stakes) of a subsoil user.</p> <p>6. To conclude other transactions/agreements without the GC, aimed at transferring the right to determine the decisions of the management bodies of strategic society, including the conditions for their business activities, to a foreign investor or group of persons.</p> <p>7. The acquisition of 25% or more of the main production assets of strategic society without the GC.</p> <p>8. To conduct transactions, actions aimed at establishing and controlling of a foreign investor without the GC including through a third party.</p> <p>9. To prohibit a foreign state, an international organization or an organization under its control to establish control over strategic company.</p>	<p>LIMITED" on acquisition of 99,9667% of shares of JSC "Machine-building concern ORMETO-YUMZ" and application of consequences of the invalidity of the above-mentioned transaction. However, the Arbitration court of Moscow dismissed proceeding with regard to the conclusion of a peace agreement (the participants were: the FAS Russia, LLC "VelentTrans" and "PALMERS SERVICES LIMITED"), under which LLC "VelentTrans" was obliged to sell the shares of OJSC "Machine-building concern ORMETO-YUMZ" in favour of the Russian organization within 3 months.</p> <p>In 2019, a court decision on the claim of the FAS Russia was adopted and entered into force on the loss a foreign company "Drilling innovation M. E. Ltd." of the voting right at the General meeting of participants of LLC "OXET". Earlier, the courts of all instances supported the position of the FAS Russia that in connection with the implementation of LLC "OXET" activities on the descent of casing columns into wells for drilling in the subsoil areas of Federal significance, this company is a business company of strategic importance for the country's defence and state security.</p>
(2) Investment by foreigners entails offsets (performance	(Number of industries)	Description of measures: 1. In 2008 Federal law No.	Description of measures: 1. In 2011 the "first package of	

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requirements, export requirements, local content requirements)		<p>57-FZ of 29.04.2008 "On the procedure for foreign investments in the business entities of strategic importance for national defence and state security" was adopted, which establishes the following:</p> <ul style="list-style-type: none"> - restrictions for foreign investors on making a number of transactions and other actions; - prohibition for foreign countries, international organizations and organizations under their control to establish control over strategies; - the list of strategic types of activities; - procedure for examining the applications of foreign investors on preliminary approval of transactions, on approval of control establishment; - consequences for non-compliance with the requirements of the law. <p>According to the Decree of the Government of the Russian Federation dated 06.07.2008</p>	<p>amendments" was adopted (Federal law No. 322-FZ of 16.11.2011).</p> <p>In particular, the following changes were made:</p> <ul style="list-style-type: none"> - foreign investors under control of the Russian Federation, a subject of the Russian Federation, or a citizen of the Russian Federation who does not have another citizenship were excluded from the scope of Law No. 57-FZ; - control thresholds for subsoil areas of Federal significance have been raised from 10% to 25%; - banks cryptographic activities that do not have a share of the Russian Federation in their authorized capital have ceased to be strategic; - international financial organizations with participation of the Russian Federation were excluded from the scope of the law. <p>In 2014, the "second package</p>	

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		<p>No. 510 " Government Commission for Control over Foreign Investments of the Russian Federation", the FAS Russia was appointed as the authorized body responsible for control over foreign investment in the Russian Federation.</p> <p>2. Introduction by Federal law No. 58-FZ of 29.04.2008 part 4 of article 6 of the Federal law of 09.07.1999 No. 160-FZ "On foreign investment in the Russian Federation" (hereinafter – Federal law No. 160-FZ) on the need to approve transactions that are made by foreign countries, international organizations or organizations under their control and as a result of which the right to directly or indirectly dispose of more than 25 % of the total number of votes per voting shares (stakes) of the authorized capital of Russian business company or other ability to block decisions of the management bodies of this company is acquired.</p>	<p>of amendments" was adopted (Federal laws: № 15-FZ of 03.02.2014, № 29-FZ of 12.03.2014, № 343-FZ of 04.11.2014).</p> <p>In particular, the following changes were made to the Federal law No. 57-FZ:</p> <ul style="list-style-type: none"> - to attribute to the scope of the law of other actions the result of which is the establishment of control over the strategic company; - to attribute to the scope of the law the acquisition of more than 25% of the property value that belongs to the main production assets of a strategic company; - the need to submit a notification of transactions and other actions that have been approved by the Government Commission; - introduction of the concept of aggregate control for foreign countries; - assignment of the Supreme court's competence to challenge the decisions of the 	

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			<p>Government Commission;</p> <ul style="list-style-type: none"> - clarification of strategic activities. <p>In 2017, the "third package of amendments" was adopted (Federal law No. 155-FZ of 01.07.2017, Federal law No. 165-FZ of 18.07.2017). In particular, the following changes were made to Law No. 57-FZ:</p> <ul style="list-style-type: none"> - classification of Russian citizens with other citizenship as foreign investors; - the possibility of imposing other obligations on a foreign investor; - deprivation of the voting right of a foreign investor who did not submit a notification at the General meeting; - assigning responsibility of investigation of cases on violation of the law to arbitration courts; - clarification of strategic activities. <p>In 2018, the "fourth package of amendments" was adopted (Federal law No. 122-FZ of</p>	

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			<p>31.05.2018). In particular, the principle of territorial ownership of a foreign investor (registration in offshore territories) has been replaced by the principle of prior disclosure of information on the ownership structure.</p> <p>2.In 2019, the FAS Russia developed and secured the adoption of the Decree of the Government of the Russian Federation dated 03.06.2019 No. 711 "On amendments to paragraph 41 of the Regulations on the Government Commission for control over foreign investments in the Russian Federation" (hereinafter - Resolution No. 711).</p> <p>In accordance with part 5 of article 6 of the Federal law No. 160-FZ, the Chairman of the Commission has the right to submit to the Commission "any transaction" of a foreign investor in respect of Russian company that does not fall under the mandatory</p>	

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			<p>pre-approval procedure provided for by the Federal law No. 57-FZ.</p> <p>However, before the adoption of the Resolution No. 711, there was no mechanism for implementing these legal norms, which created legal uncertainty for the investment climate in the Russian Federation.</p> <p>Thus, according to the Resolution No. 711:</p> <ul style="list-style-type: none"> - the procedure and deadlines for interaction of the Federal Executive authorities to resolve the issue concerning the need to examine transactions of foreign investors that do not fall under the regulation of the Federal law No. 57-FZ by the Commission were developed; - clear and understandable rules for notifying foreign investors on the initiation of the procedure for informing the Chairman of the Commission about their planned transactions and the 	

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			<p>need to suspend their execution until the end of such procedure were established;</p> <ul style="list-style-type: none"> - the risks of the threat to the economic security of the Russian Federation while making transactions in respect of which the procedure for informing the Chairman of the Commission has been initiated were eliminated; - barriers to investment in the Russian economy were removed by eliminating legal uncertainty regarding certain types of transactions by foreign investors. <p>At the same time, the FAS Russia, in order to bring it into full compliance with the current version of higher-level regulatory legal acts, and in accordance with the provisions of the Federal law No. 57-FZ and government resolutions of the Russian Federation, has developed and approved:</p> <ul style="list-style-type: none"> - the Order of the FAS Russia No. 87/19 of 28.01.2019 (new 	

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			<p>version of the Administrative regulation on the provision of public services for consideration of applications on preliminary approval of transactions and (or) the establishment of control of a foreign investor or a group of persons over business entities of strategic significance for the country's defence and state security (registered by the Ministry of Justice of the Russian Federation on 25.02.2019);</p> <p>- the Order of the FAS Russia No. 382/19 of 27.03.2019 (new version of the Administrative regulation on the provision of public services for consideration of notifications on acquisition by a foreign investor or a group of persons which includes a foreign investor of five and more percent of shares (stakes) of the authorized capitals of the business entities with strategic significance for the country's</p>	

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			<p>defence and state security, and also on transactions, other actions which are subjects to preliminary approval (registered by the Ministry of justice of the Russian Federation on 26.04.2019);</p> <p>- the Order of the FAS Russia No. 1691/19 of 18.12.2019 "On approval of the administrative regulation of the Federal Antimonopoly service for the provision of public services for the consideration by the FAS Russia the information submitted by foreign legal entities, foreign organizations that are not legal entities and organizations under their control about their beneficiaries, beneficial owners and controlling persons".</p>	
(3) Restrictions on transfers of capital	(Existing, Not existing)	(Existing, Not existing)	(Existing, Not existing)	
(4) Consistency with APEC Non-Binding Investment Principles	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(5) Number of BITs and FTAs/RTAs	(Number of	(Number of agreements)	(Number of agreements)	

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which NT and MFN are ensured in relation to foreign investment	agreements)			
BITs and FTAs/RTAs with APEC member economies which NT and MFN are ensured in relation to foreign investment	(List of agreements)	(List of agreements)	(List of agreements)	
(6) Measures to improve transparency in investment	(Description of illustrative measures)	(Description of illustrative measures)	(Description of illustrative measures)	
8. Competition Policy				
(1) Development of competition laws and establishment of competition authority	(Existence or nonexistence of competition laws and authority)	(Existence or nonexistence of competition laws and authority)	(Existence or nonexistence of competition laws and authority)	<p>1. The Federal law No. 485-FZ of 27.12.2019 "On amendments to the Federal law "On state and municipal unitary enterprises" and the Federal law "On protection of competition" (hereinafter — Federal law No. 485-FZ) entered into force on January 8, 2020, which prohibits the creation and operation of unitary enterprises in competitive markets.</p> <p>According to the FAS Russia the participation of unitary enterprises in economic activities has the most negative impact on competition in local markets and leads to their monopolization.</p> <p>Federal law No. 485 - FZ amended the Federal laws "On state and municipal unitary enterprises and the Federal law "On protection of competition", concerning the prohibition on the establishment and operation of unitary enterprises in competitive markets, except for a number of cases specified in this Federal law.</p> <p>With the adoption of the draft law, state and</p>

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				<p>municipal unitary enterprises, established before the law, was entered into force and operating in competitive commodity markets, except for the cases specified by Law No. 485-FZ, are subject to liquidation or reorganization by the decision of founder before January 1, 2025, and with regard to any failure to adopt or implement this decision - liquidation in court at the request of the Antimonopoly Authority.</p> <p>2. Federal law No. 509-FZ of 27.12.2019 "On amendments to article 52 of the Federal law "On protection of competition" entered into force on January 8, 2020, which excludes the possibility of suspending the actions of decisions and orders of Antimonopoly Authorities in relation to governmental bodies and local self-government bodies apart from cases where such suspension is imposed by a judicial act.</p> <p>3. Federal law No. 33-FZ of 01.03.2020 "On amendments to the Federal law "On protection of competition" entered into force on March 12, 2020, providing for the possibility of introducing a system of internal compliance assurance by business entities with the requirements of Antimonopoly legislation.</p> <p>One of the tools for preventing violations of Antimonopoly legislation and reducing Antimonopoly risks for business entities is the development and implementation of an internal system for ensuring compliance with the</p>

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				<p>requirements of Antimonopoly legislation. Federal law No. 135-FZ of 26.07.2006 "On protection of competition introduced the concept of internal compliance system for Antimonopoly legislation", established the procedure for organizing a compliance system by business entities, and also defined the main (minimum) requirements for the content of internal acts of business entities that form the compliance system.</p> <p>The law on protection of competition also provides that an economic entity has the right to send to the FAS Russia an internal act (s) or draft (s) of an act forming a compliance system to establish its (their) compliance with the requirements of Antimonopoly legislation. The FAS Russia gives an opinion on its (their) compliance or non-compliance with the requirements of the Antimonopoly legislation.</p>
(2) Consistency with APEC Principles to Enhance Competition Policy and Deregulation and efforts to become consistent with the Principles	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(3) International cooperation on Competition law/policy	(Description of illustrative measures)	(Description of illustrative measures)	(Description of illustrative measures)	
9. Government Procurement				
(1) Increasing transparency of laws, regulations, bidding system, and how to determine bidding	Lack of legislation in the field of procurement.	Federal Law of 21.07.2005 № 94-FZ "On Placement of Orders to Supply Goods, Carry out	Federal Law of 05.04.2013 № 44-FZ "On the Contract Contract System in State and	Determination of the Supreme Court of the Russian Federation of 05.08.2019 № 305-ЭС19-12240 on the case №

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qualifications and bid winners		Works and Render Services for Meeting State and Municipal Needs”	Municipal Procurement of Goods, Works and Services” Federal Law of 18.07.2011 №223-FZ “On the Procurement of Goods, Work and Services by Certain Types of Legal Entities” Federal Law of 29.12.2012 № 275-FZ “On the State Defense Order”	A40-146580/2018 The essence: - a requirement for membership in a self-regulating organization in the field of architectural and construction design is established in the auction documentation by the customer, authorized body; - a requirement for the term of an extract from the register of members of a self-regulating organization is not set in the auction documentation by the customer, authorized body, namely: an extract from the register must be issued no earlier than one month before the deadline for submitting applications for participation in the purchase. Result: Violation of part 6 of article 31 Of the Law on the Contract System was recognized in the actions of the Customer, the authorized body. An order to eliminate violations was issued to the Customer. The decision and the order of the FAS Russia were recognized legal by the courts.
(2) Restrictions on foreign goods, services or suppliers, or preferences to domestic suppliers	Not introduced	Not introduced	On January 1, 2011, the unified official website of the Russian Federation "Public procurement" was launched to publish information about placing orders for the supply	Since January 1, 2019, all procurement procedures are carried out in electronic form, except for: - purchases from a single supplier; - closed procedures for determining the supplier; - purchases to support the customer's activities in

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			of goods, carry out works, and render services. Since 2014 - creation and operation of the unified information system in the field of public procurement (UIS).	a foreign country; - request for quotations for providing emergency, including specialized, medical care in an emergency or urgent form and normal life support for citizens; - purchases in accordance with the decision of the Government of the Russian Federation.
(3) Reciprocity requirements in providing access to government procurement markets	(existing, not existing)	(existing, not existing)	(existing, not existing)	
(4) Consistency with the APEC Non-binding Principles on Government Procurement	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(5) Introduction of electronic means for government procurement	(Introduced, Not introduced)	(Introduced, Not introduced)	(Introduced, Not introduced)	
10. Deregulation/ Regulatory Reform				
Telecommunications				
(1) Reviews of existing regulations	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(2) Reviews of new or proposed regulations	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(3) Consistency with APEC Principles to Enhance Competition and Regulatory Reform	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(4) Improving transparency in regulatory regimes	(Description of illustrative measures)	(Description of illustrative measures)	In execution of paragraph 5.4.2 of the FAS Russia Action Plan for the implementation of National	Change of approaches to regulation of activity of natural monopolies subjects on the basis of the analysis of the commodity market according to procedures of the Antimonopoly law

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			<p>Competition Promotion Plan for 2018 – 2020 approved by the Executive Order of the President of the Russian Federation “On State Competition Policy Guidelines” of 21.12.2017 No. 618 approved by FAS Russia Order No. 279/18 of 12.03.2018, as well as paragraph 11 of chapter VI "Telecommunications" of the Action Plan (Road Map) for the development of competition in sectors of the Russian economy and the transition of certain spheres of natural monopolies from natural monopoly to competitive market in 2018 - 2020, approved by the Decree of the Government of the Russian Federation of 16.08.2018 No. 1697-p. the FAS Russia analyzed the level of competition in the market of telephone services, including mobile communications, in terms of regions of the Russian</p>	

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			<p>Federation.</p> <p>The draft resolution of the Government of the Russian Federation "On amendments to the Provision on state regulation of tariffs for public telecommunication and public postal services and the List of public telecommunication and public postal services, state regulation of tariffs which the FAS Russia carries out in the domestic market", was submitted to the Government of the Russian Federation. Settlement of long-term tariffs for regulated public telecommunication and public postal services, ensuring effective cost management:</p> <p>1) Tariffs for public telecommunication services using the coefficient of the maximum allowable change in tariffs for Rostelecom PJSC are approved in accordance with the established procedure by the Order of the FAS Russia No. 754/19 of 10.06.2019;</p>	

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			<p>2) The Order of the FAS Russia No. 1630/19 of 11.12.2019 "On approval of the coefficient for improving the efficiency of the Federal state unitary enterprise "Russian Television and Radio Broadcasting Network" (RTRS);</p> <p>3) The Order of the FAS Russia No. 200/20 of 02.03.2020 "On approval of tariffs for public telecommunication services for the purposes of terrestrial television broadcasting and (or) radio broadcasting provided by the Federal state unitary enterprise "Russian television and radio broadcasting network";</p> <p>4) The Order of the FAS Russia No. 1607/19 of 09.12.2019 "On approval of the coefficient of increasing the efficiency of the Federal state unitary enterprise «Satellite Communications»;</p> <p>5) The Order of the FAS Russia No. 202/20 of</p>	

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			02.03.2020 "On approval of maximum limit of tariffs for delivery of signals of nationwide mandatory public TV channels and radio channels to radio electronic means for the transmission of the signal broadcast, provided by the Federal state unitary enterprise «Satellite Communications».	
Fuel-and-Energy Complex and Chemical Industry				
(5) Reviews of existing regulations	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(6) Reviews of new or proposed regulations	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(7) Consistency with APEC Principles to Enhance Competition and Regulatory Reform	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
(8) Improving transparency in regulatory regimes	(Description of illustrative measures)	(Description of illustrative measures)	(Description of illustrative measures)	The development and introduction of rules for non-discriminatory access to potassium chloride and pricing policy for soda ash, helium and acetic acid allow to implement the basic principles of antitrust law – equal, open and understandable conditions for all participants in highly concentrated commodity markets, ensuring transparent conditions for markets functioning and equal access to the product for all consumers.

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				The development of exchange trading of petrochemical products, mineral fertilizers and energy coal implements transparent pricing mechanisms that allow establishing fair market price for goods, creating the possibility of hedging currency risks, as well as the possibility of long-term financial planning for economic entities.
Transport				
(9) Reviews of existing regulations				
<i>Rail transport</i>	(All) Decree of the President of the Russian Federation No. 221 of 28.02.1995 "On measures to streamline state regulation of prices (tariffs)" liberalized prices (tariffs). Decree of the Government of the Russian Federation No. 239 of 07.03.1995 "On measures to streamline state regulation of prices	(Most) Resolution of the Government of the Russian Federation № 643 of 05.08.2009 approved the Provisions on state regulation of tariffs, fees and charges in respect of works (services) of natural monopolies in the sphere of rail transportation and the list of works (services) of natural monopolies in the sphere of rail transportation, tariffs, fees and charges which are regulated by the government.	-	Improving the efficiency of the market economy, streamlining state regulation of prices (tariffs).

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	(tariffs)" approved the lists of regulated works (services) at the Federal, regional and local levels. Federal law No. 147-FZ of 17.08.1995 "On natural monopolies".			
<i>Air transport</i>	(All) Decree of the President of the Russian Federation No. 221 of 28.02.1995 "On measures to streamline state regulation of prices (tariffs)" liberalized prices (tariffs). Decree of the Government of the Russian Federation No. 239 of 07.03.1995 "On measures to streamline state regulation of prices (tariffs)" approved the lists of regulated	(Some) Decree of the Government of the Russian Federation No. 293 of April 23, 2008 approved the Provision on state regulation of prices (tariffs, fees) for services of natural monopolies in transport terminals, ports, airports and services for using of inland waterway infrastructure and the list of services of natural monopolies in transport terminals, airports prices (tariffs, fees) which are regulated by the government.	-	Improving the efficiency of the market economy, streamlining state regulation of prices (tariffs).

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
	works (services) at the Federal, regional and local levels. Federal law No. 147-FZ of 17.08.1995 "On natural monopolies".			
<i>Maritime and River Transportation</i>	(All) Decree of the President of the Russian Federation No. 221 of 28.02.1995 "On measures to streamline state regulation of prices (tariffs)" liberalized prices (tariffs). Decree of the Government of the Russian Federation No. 239 of 07.03.1995 "On measures to streamline state regulation of prices (tariffs)" approved the lists of regulated works (services) at the Federal, regional	Decree of the Government of the Russian Federation No. 293 of April 23, 2008 approved the Provision on state regulation of prices (tariffs, fees) for services of natural monopolies in transport terminals, ports, airports and services for using inland waterway infrastructure and the list of services of natural monopolies in transport terminals, airports prices (tariffs, fees) which are regulated by the government.	Decree of the Government of the Russian Federation No. 1923 of December 27, 2019 "On amendments to certain acts of the Government of the Russian Federation on the state regulation of prices (tariffs, fees) for services of natural monopolies in ports and services for using inland waterways infrastructure" excluded a number of services provided in sea ports, river ports and use of infrastructure of inland waterways from the list of regulated services.	Improving the efficiency of the market economy, streamlining state regulation of prices (tariffs). Decree of the Government of the Russian Federation No. 1923 of December 27, 2019 is aimed at developing competition in the sphere of services provided in the ports of the Russian Federation, services on using infrastructure of inland waterways, and encouraging an increase in cargo performance. These changes can have a positive impact on conditions for attracting private capital to investment projects for the development of port facilities.

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
	and local levels. Federal law No. 147-FZ of 17.08.1995 "On natural monopolies".			
(10) Reviews of new or proposed regulations	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	
<i>Rail transport</i>	-	-	-	-
<i>Air transport</i>	-	-	(Some) Changes in the state tariff (price) regulation (deregulation). Orders of the FAS Russia: of 19.11.2019 No. 1533/19 "On changing of state regulation for services at Pulkovo airport, provided by LLC "Air Gates of the Northern Capital" (partly: local airlines, Saint Petersburg-Moscow and back); of 20.12.2019 No. 1710/19 "On approval of tariffs and (fees) and changes of state regulation for services at the Volgograd airport provided by PJSC "Volgograd international airport" (local airlines); of 20.12.2020 No. 1709/19	Transition of certain spheres of natural monopolies from the state of natural monopoly to the state of competitive market.

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
			<p>"On approval of tariffs and (fees) and changes of state regulation for services at the Chelyabinsk airport provided by JSC "Chelyabinsk air enterprise" (local airlines); Of 19.07.2019 No. 973/19 "On changing the state regulation of the activities of JSC "Fuel Filling company Koltsovo"; of 10.12.2019 No. 1610/19 "On changing the state regulation on services at the Khabarovsk airport provided by JSC" international air terminal Khabarovsk» (local airlines).</p>	
<i>Maritime and River Transportation</i>	-	-	<p>According to Decree of the Government of the Russian Federation No. 1923 of 27.12.2019 the following services are excluded from the list of services of natural monopolies in transport terminals, ports and services for the use of inland waterway infrastructure prices (tariffs, fees) which are regulated by the government:</p>	<p>Transition of certain spheres of natural monopolies from the state of natural monopoly to the state of competitive market.</p>

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
			<ul style="list-style-type: none"> - providing pilotage of vessels; - provision of berths; - ensuring environmental safety in the port; - loading and unloading of cargo; - cargo storage; - towing services; - passenger service ; - providing ships with roads, anchorages, protective structures and berths of the port; - providing pilotage of vessels; - navigation and hydrographic provision of navigation conditions for vessels on inland waterways; - icebreaking support in winter navigation conditions; - ensuring the passage of vessels through the navigable hydraulic structures; - ensuring the passage of foreign vessels on inland waterways. 	
(11) Consistency with APEC Principles to Enhance Competition and	(All, Most, Some, None)	(All, Most, Some, None)	(All, Most, Some, None)	

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
Regulatory Reform				
(12) Improving transparency in regulatory regimes	(Description of illustrative measures)	(Description of illustrative measures)	(Description of illustrative measures)	
<i>Rail transport</i>	Nomenclature of Main Activity Costs of the Railways of the Russian Federation	According to the Order of the Ministry of transport № 124 of 17.08.2007 the Procedure of division of revenues, expenditures and financial results by types of activities, tariff components and integrated works of open joint stock company "Russian Railways" was approved	According to the Order of the Ministry of transport of Russia No. 373 of 23.10.2018 the Procedure for separate accounting of revenues and expenditures of natural monopolies in the field of railway transport was approved.	Ensuring financial transparency of all railway activities
<i>Maritime and River Transportation</i>	-	-	The Order of the Ministry of transport of the Russian Federation № 266 of 12.08.2019 "On approval of the Procedure of division of revenues, expenditures by types of activities, connected with rendering services by subjects of natural monopolies in the ports" The Order of the Ministry of transport of the Russian Federation No. 268 of 27.08.2015 "On approval of the Procedure of division of revenues, expenditures by	

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
			types of activities related to the provision of services of natural monopolies in the river ports and services for using infrastructure of inland waterways”	
Electricity				
(13) Reviews of existing regulations	-	<p>From 2003 to 2008 there is a transition period of reforming the electric power industry in the Russian Federation. In addition to structural reform, the key area of reform was the transition from tariff regulation to market-based pricing of electricity.</p> <p>There was a transition to a two-level market structure (wholesale and retail markets) and separate circulation of electricity and capacity (market of two goods).</p> <p>As a result of large-scale structural transformations, the structure of the Russian electric power industry has changed radically. From the regional vertically integrated power systems, competitive activities</p>	<p>Since 2008, the wholesale electricity and capacity market has been liberalized, as a result of which one part of electricity and capacity is sold at unregulated prices: under free contracts of sale of electricity and capacity, under contracts of sale of hydroelectric power plants and nuclear power plants, or as a result of competitive power selection.</p> <p>Since 2011 the capacity market rules introduced a mechanism of a long-term agreement on the supply of power under which a supplier agrees to introduce new generating capacity in a timely manner and a buyer agrees to pay for added capacity during</p>	<p>At present, the FAS Russia developed a draft Federal law "On amendments to article 6 of the Federal Law "On features of functioning of electric power industry and on amendments to certain legislative acts of the Russian Federation and the annulment of certain legislative acts of the Russian Federation in connection with adoption of the Federal law "On electric power industry" of 26.03.2003 No. 36-FZ aimed at the elimination of abuses of power industry entities of their dominant position and providing for the possibility of forced sale of shares, violating the requirements of article 6 of the Federal law 36-FZ.</p>

	Status in 1996	Status in 2009	Status in 2019	Major Achievements incl. Significant Progress after the Mid-term Stocktake and Example of Best Practices
		for the production and sale of electricity were identified, as well as natural monopoly activities related to the transmission of electricity and operational dispatch management.	the term of the contract under the relevant rules. In retail electricity and capacity markets, final consumer, including population, purchase electricity from sales organizations – energy supply companies and guaranteeing suppliers	
(14) Reviews of new or proposed regulations	-	-	-	-
(15) Consistency with APEC Principles to Enhance Competition and Regulatory Reform	-	-	-	-
(16) Improving transparency in regulatory regimes	-	-	-	-